

01-10-02

Sector/1/3

EXPRESS MAIL CERTIFICATE

Date 1/7/02 Label No. EL 767721922-45

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

B.W. LEE B.W. Lee  
Name (Print) Signature

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 3191/11388-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Martin Zimmermann; Reinhard Berger; Alfons Wagner

Serial No.: 09/973,966 Art Unit: 3661

Confirmation No.:

Filed: October 8, 2001 Examiner: T.B.A.

For: MOTOR VEHICLE

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Payment in the amount of \$ 2908, (\$ 2738 filing; \$ 40 recording; \$ 130 late) in the form of:  
[X] check  
[] deposit account no. 04-0100

☐ credit card (see attached form)  
(See attached **Fee Computation Sheet**)

3. ☐ Drawings, sheets (Figs. )
4. ☒ Assignment for recording to: LuK Lamellen und Kupplungsbau Beteiligungs KG
5. ☐ Applicant claims small entity status.  
**PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.**
6. A copy of Notice to File Missing Parts of Application.

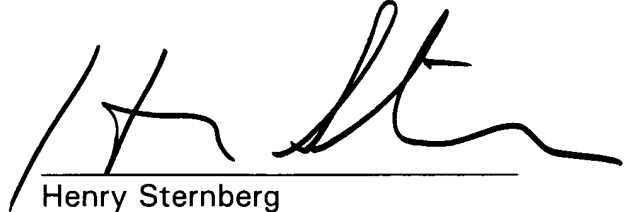
Priority is claimed for this application, corresponding application/s having been filed as follows:

Country:	GERMANY
Number:	198 51 440.9
Date:	9 NOVEMBER 1998

The priority documents ☐ are enclosed  
☐ will follow.  
☒ were filed in a previous application.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'H. Sternberg', written over a horizontal line.

Henry Sternberg  
Reg. No. 22,408  
Attorney for Applicant(s)

Dated: January 7, 2002

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022  
212-527-7700

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee . . . . .				\$740.00
Total Claims	131 - 20	- 0 = 113	x \$18.00	\$1998.00
Independent Claims	2 - 3	- 0 = 0	x \$84.00	\$0.00
Multiple Dependent Claims		- if so, add	\$280.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00) . . . . .				\$130.00
SUBTOTAL . . . . .				\$2868.00
[ ] Small Entity REDUCTION (Half of Subtotal) . . . . .				\$0.00
Fee for recordation of assignment (\$40.00) . . . . .				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL . . . . .				\$2908.00

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/973,966	10/08/2001	Martin Zimmermann	3191/11388-US1

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CONFIRMATION NO. 2407

## FORMALITIES LETTER



\*OC000000007039940\*

Date Mailed: 11/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1998.
  - \$1998 for 111 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2868.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/15/2002 NROCHAI 00000094 09973966  
01 FC:101 740.00 OP  
02 FC:103 1998.00 OP  
03 FC:105 130.00 OP